

(For new non-provisional applications under 37 CFR § 1.53(b))

Inventor(s):
Paul L. Popelka
Manish M. Agarwal

Title: Network File System Record Lock Recovery in a Highly Available Environment

"Express Mail" mailing label number: EV 318246872 US
DATE OF DEPOSIT: July 25, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents
M/S Patent Application
P.O. Box 1450
Alexandria, VA 22213-1450

Alexandria, VA 22303-1450
Heather Niemeyer
Heather Niemeyer

1. ☒ Filing Fee

Total Claims	37	-20=	17	x \$18.00=	\$306.00
Independent Claims	5	-3 =	2	x \$84.00=	\$168.00
Multiple Dependent Claims				Fee:	\$0.00
				Basic Fee:	\$750.00
				Assignment Fee	\$40.00
				Sub-Total:	\$1,264.00
Reduction by 50% for Small Entity:					\$0.00
				Total:	\$1,264.00

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C., Deposit Account No. 501505/5760-12600/LJM.

2. ☒ Specification

24 page(s) of specification; 8 page(s) of claims, 1 page(s) of abstract

3. ☒ Drawings

Informal Figure(s) 1-10 on 10 sheet(s)

4. ☒ Oath or Declaration

☒ Newly executed☐ Copy from a prior application (see 37 C.F.R. § 1.63(d))

Deletion of Inventor(s) (in continuation or divisional applications):

☐ Delete the following inventor(s) named in the prior non-provisional application:

☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- ☐ Computer Readable copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies
8. ☒ Assignment Papers
9. Power of Attorney
- ☒ Is attached.
- ☐ The power of attorney appears in the original papers of the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
10. ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS Citations
11. Amendments
- ☐ A preliminary amendment is enclosed.
- ☐ Cancel in this application claim(s) _____ before calculating the filing fee. At least one independent claim is retained for filing purposes.
- ☐ Amend the specification by inserting before the first line the sentence: _____.
12. ☒ Return Receipt Postcard
13. Small Entity Status
- ☐ A small entity statement is enclosed.
- ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- ☐ Is no longer claimed.
14. ☐ Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. §§ 119(a)-(d)
15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
16. ☒ Other: Request and Certification under 122(b)(2)(B)(i)

Address all future correspondence to:

Customer Lawrence J. Merkel
No. 35690 Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.
P.O. Box 398
Austin, Texas 78767
Phone: (512) 853-8800 Fax: (512) 853-8801

Signature

Name

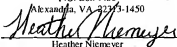
Registration No.

Date

41,191


July 25, 2003

Lawrence J. Merkel

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10 "Express Mail" mailing label number: EV 318246872 US DATE OF DEPOSIT: July 25, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents MS Patent Application P.O. Box 1450 Alexandria, VA 22303-1450  Heather Niemeyer		REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	
Atty Docket Number:		5760-12600	
First Named Inventor:		Paul L. Popelka	
Title:	Network File System Record Lock Recovery in a Highly Available Environment		

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b)

July 25, 2003
Date


Signature

Lawrence J. Merkel 41,191
Typed or printed name Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

14202 U. S. PTO
07/25/03

PATENT
(5760-12600/VRTS 0289)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Unknown
Filed: Herewith
Inventor(s):
Paul L. Popelka
Manish M. Agarwal

Examiner: Unknown
Group/Art Unit: Unknown
Atty. Dkt. No: 5760-12600

Title: Network File System
Record Lock Recovery in a
Highly Available
Environment

CERTIFICATE OF EXPRESS MAIL
UNDER 37 C.F.R. §1.10

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Alexandria, VA 22313-1450

Heather Niemeyer
Heather Niemeyer

FEE AUTHORIZATION

Commissioner for Patents
M/S Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons,
Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5760-
12600/LJM:

Fee: Filing Fee Amount: \$750.00
Fee: Excess Claims Amount: \$474.00

Attorney Docket No.: 5760-12600
Attorney Docket No.: 5760-12600

Respectfully submitted,

Lawrence J. Merkel
Lawrence J. Merkel
Reg. No. 41,191

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Date: July 25, 2003